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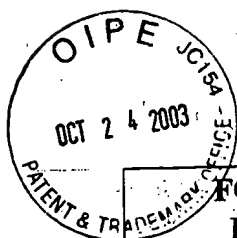
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FORM PTO-1440 (modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY DOCKET NO. 89-VIB (cont)	SERIAL NO. Serial no. 09/ 750, 480
	APPLICANT: Mitchell R. Swartz	
	FILING DATE: 12/28/00	GROUP: 3641

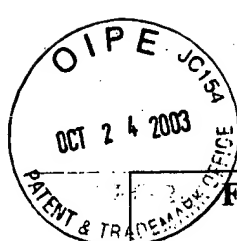
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OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)									
	AE								Arata Y, Zhang Y-C; Fusion Technol. 18 (1990) 95. Achievement of an intense cold fusion reaction.
	AF								Bass "CETI 1.3 kilowatt reactor demonstrated", Cold Fusion Times, 4, 1, 4 (1996)
	AG								Beauette, Paxton Lectureship - CF and the Press, Cold Fusion Times, 1, 4, 5 (1994)
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	AG								Biberian, Excess Heat in AlLaO3 Doped with D", ICCF-5 Proceedings, Monaco, 49-56 (1995)
	AH								Bishop "Scientist Press Search for CF" Wall Street Journal, 7/14/94 pge 1
	AI								Bishop, "It aint over till its over .. Cold Fusion", Popular Science, August 1993, 47
	AJ								Bishop, US Research Claims to Replicate Japanese Experiment, Wall Street Journal, 7/27/92
	AK								Bittner, et alia, "Evidence for the Production of d-d Fusion Neutrons ...", Fusion Technology, 19, 2119, (91)

EXAMINER Examiner: Palabrica, R.J	DATE CONSIDERED
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ATTY DOCKET NO. 89-VIB (cont)
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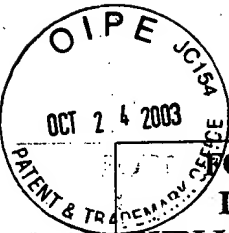
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AF									Bush, et al; Nuclear Products Helium Commensurate to Heat Generation, Abstract ICCF-6 P-079 (1996)
AG									Bush, R. D. Eagleton, "Evidence for Electrolytically Induced Transmutation and Radioactivity Correlated with Excess Heat in Electrolytic Cells with Light Water Rubidium Salt Electrolytes," Transactions of Fusion Technology, 26, 431-441, (Dec. 1994)
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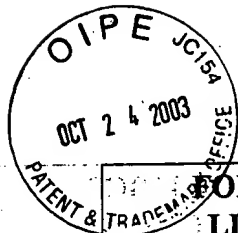
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	AE						Chandler, Making the case for CF:, Boston Globe, page 7 (4/17/92)
	AF						Chene, Brass J. Electroanal Chem 280, 199-205 (90) "Tritium Production .."
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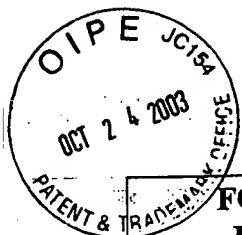
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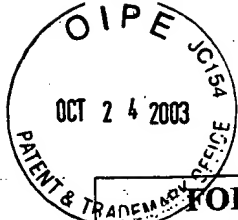
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	AF								Cravens, "Comments on Steve Jones' Theory on Recombination", Cold Fusion Times, 4, 2, 9 (1996)
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	AG								Fleischmann M, Pons S; Cold Fusion Times, 1, 3, pp1,2,6,8,10 (1993), "Response to Critique of Physics Letter A Paper"
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	AK								Gozzi D, Cignini PL, Petrucci L, Tomellini M, De Maria G, Frullani S, Garibaldi F, Ghio F, Jodice M, Tabet E; J. Fusion Energy 9 (1990) 241. Nuclear and thermal effects during electrolytic reduction of deuterium at palladium cathode.

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	AE						Gozzi D, et al, IL Nuovo Cimento, 103A, 143-154 (1990) Evidences for Heat Generation ...". Fusion Energy 9 (1990) 241. Nuclear and thermal effects during electrolytic reduction of deuterium at palladium cathode.
	AF						Hagelstein, "Lattice-Induced Atomic and Nuclear Reactions", Vol. 1, Proceedings: "Fourth International Conference on Cold Fusion", December (1994).
	AG						Hagelstein PL; Cold Fusion Times, 1, 1, 1-8 (1993) Summary of the Third Int Conf on CF (ICCF3)
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	AH						Hoffman, Review of Taubes, Fusion Technology, 25, 224-227 (1994)
	AI						Hora et alia, "Screening in cold fusion derived from D-D reactions", Physics Letters A, 175, 138-143 (1993).
	AJ						Huggins, "CF Conference gathers fuel for thought", COLD FUSION, 1, 1, 36-42 (1994)
	AK						Itoh et alia, "Observation of Nuclear Products un Vacuum Condition..", ICCF-5 Proceedings, Monaco, 189-196 (1995)
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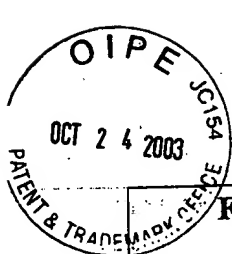
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	AE								Gozzi D, et al, IL Nuovo Cimento, 103A, 143-154 (1990) Evidences for Heat Generation ...". Fusion Energy 9 (1990) 241.Nuclear and thermal effects during electrolytic reduction of deuterium at palladium cathode.
	AF								Hagelstein, "Lattice-Induced Atomic and Nuclear Reactions", Vol. 1, Proceedings: "Fourth International Conference on Cold Fusion", December (1994).
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	AF								Hagelstein PL; J. Fusion Energy 9 (1991) 451.
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	AH								Hoffman, Review of Taubes, Fusion Technology, 25, 224-227 (1994)
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	AJ								Huggins, "CF Conference gathers fuel for thought", COLD FUSION, 1, 1, 36-42 (1994)
	AK								Itoh et alia, "Observation of Nuclear Products un Vacuum Condition..", ICCF-5 Proceedings, Monaco, 189-196 (1995)

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	AE								Iwamura et al, "Observations of Anomalous Nuclear Effects in D2-Pd System", Trans Fusion Technology, vol26, 160-164 (1994)
	AF								Jin, "Deuterium Absorbability and Anomalous Nuclear Effect of YBCO HTSC", Fusion Technology, 26, 4T, 427-430 (December 1994)
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	AE						Li, Excess Heat in D/Pd Gas-Loading, Cold Fusion Times, 5, 1, p3 (1997)
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	AH						Mallove, "Fire from Ice", chapter 15 (1992)
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 89-VIB (cont)

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 Serial no.09/ 750, 480

APPLICANT: Mitchell R. S.

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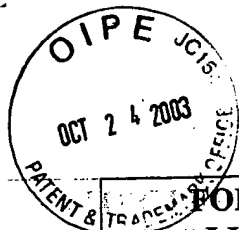
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89-VIB (cont)

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APPLICANT: Mitchell R. Swartz

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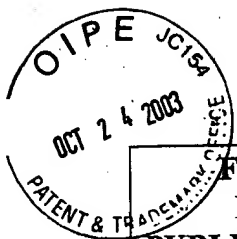
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AG								Miles, et al. Heat and Helium Measurement Using Pd and Pd Alloys, Cold Fusion Times, 5, 1 (1997)
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AI								letter from Miles/Jones
AJ								Miles, et al. Heat and Helium Measurement Using Pd and Pd Alloys in Heavy Water (1996) ICCF6 abstract O-004
AK								Miles MH, Bush BF, Stilwell DE; J. Phys. Chem. 98 (1994) 1948. Calorimetric principles and problems in measurements of excess power during

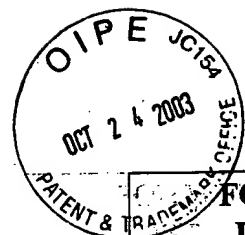
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	AE				Miles MH, Bush BF, Lagowski JJ; Fusion Technol. 25 (1994) 478. Anomalous effects involving excess power, radiation, and helium production during D2O electrolysis using palladium cathodes.		
	AF				letter from Dr. Miles regarding Jones		
	AG				Miles MH, Hollins RA, Bush BF, Lagowski JJ, Miles RE; J. Electroanal. Chem. 346 (1993) 99. Correlation of excess power and helium production during D2O and H2O electrolysis using palladium cathodes.		
	AF				Dr. Miles letter to Nozik		
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	AH				Miley, Patterson, "Nuclear Transmutations in Thin film Nickel Coatings", JNE1,3, 5-30 (96)		
	AI				Mills RL, Good WR, Fusion Technol. 28 (1995) 1697 Fractional Quantum Energy Levels of Hydrogen		
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	APPLICANT: Mitchell R. Swartz	
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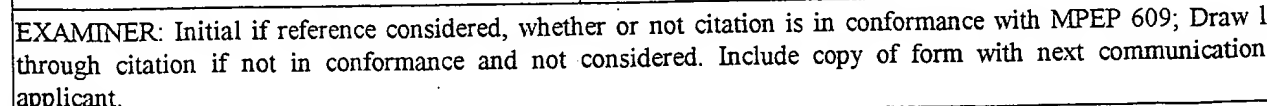
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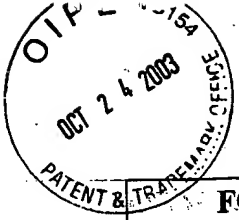
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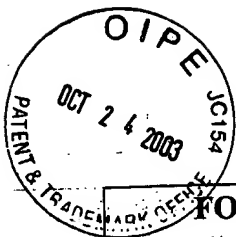
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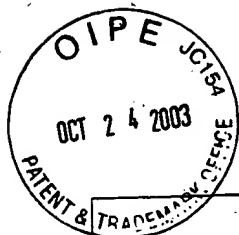
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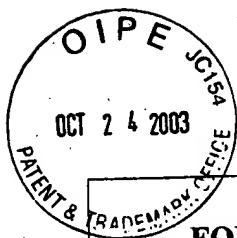


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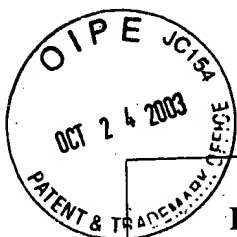
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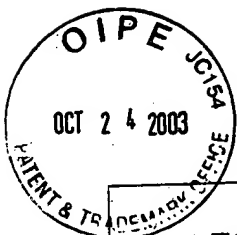
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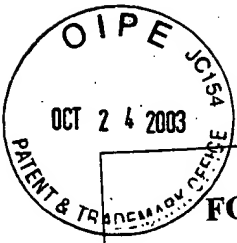
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**LIST OF PATENTS AND
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ATTY DOCKET NO.
480-Series I

SERIAL NO.
Serial no. 09/ 750, 480

APPLICANT: Dr. Mitchell Swartz

FILING DATE:
Filed: 12/28/2000

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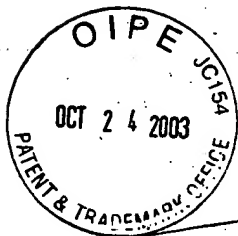
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	AY									Szpak, S., P.A. Mosier-Boss, ON THE BEHAVIOR OF THE CATHODICALLY POLARIZED PD/D SYSTEM: SEARCH FOR EMANATING RADIATION			
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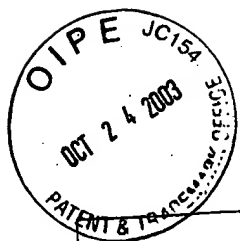
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AY						WWII Japanese CF Rocket Plane, Cold Fusion Time Fall 1997
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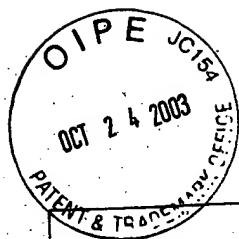
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AR				Petition for Writ of Certiorari Applicant vs. Q. Todd Dickinson 2/2003
AS				Letter to Dagani from Dr. Miles, 5/20/98 regarding issues cited by Office
AT				Letter to the Dr. Morrison, 7/23/96 regarding issues cited by Office
AU				Letter to Dr. Miller, 9/24/97 regarding issues cited by Office
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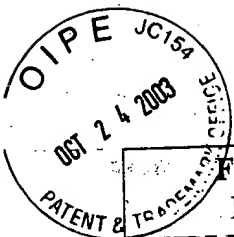
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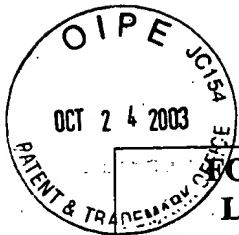
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	AH						Swartz, M., 1997, "Hydrogen Redistribution By Catastrophic Desorption In Select Transition Metals", Journal of New Energy, 1, 4, 26-33
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	AE						Swartz. M., 1997, "Consistency of the Biphasic Nature of Excess Enthalpy in Solid State Anomalous Phenomena with the Quasi-1-Dimensional Model of Isotope Loading into a Material" <i>Fusion Technology</i> . 31, 63-74
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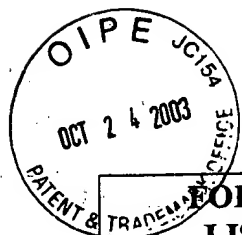
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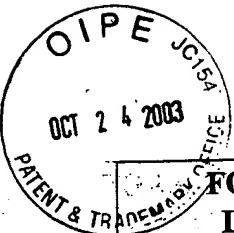
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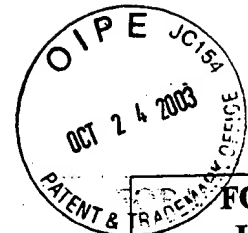
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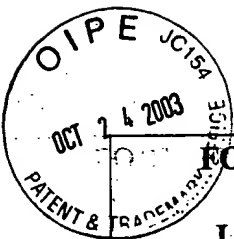
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	AH								Swartz, M., 1997, "Consistency of the Biphasic Nature of Excess Enthalpy in Solid State Anomalous Phenomena with the Quasi-1-Dimensional Model of Isotope Loading into a Material" Fusion Technology, 31, 63-74
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	AJ								Swartz, M, 1998, Improved Electrolytic Reactor Performance Using π -Notch System Operation and Gold Anodes, Transactions of the American Nuclear Association, Nashville, Tenn 1998 Meeting, (ISSN:0003-018X publisher LaGrange, Ill) 78, 84-85
	AK								Swartz, M., 1997, "Biphasic Behavior in Thermal Electrolytic Generators Using Nickel Cathodes". IECEC 1997 Proceedings, paper #97009

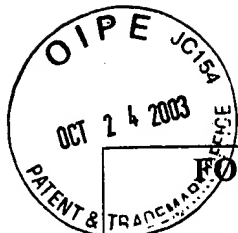
EXAMINER Examiner: Palabrica, R.J	DATE CONSIDERED
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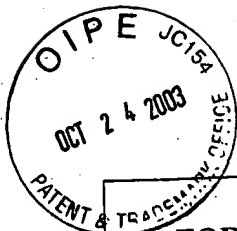
FORM PTO-1440 (modified)				ATTY DOCKET NO. 89-VIB (cont)		SERIAL NO. Serial no. 09/ 750, 480	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				APPLICANT: Mitchell R. Swartz			
				FILING DATE: 12/28/00		GROUP:	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DAT	NAME	CLASS	SUB CLASS	FILING DATE
	AA						
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION
	AD						
OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)							
	AE				P. Hagelstein, M. Swartz, Optics and Quantum Electronics, <i>MIT RLE Progress Report</i> , 139: 1, 1-13 (1997).		
	AF				Swartz, M, 1994, "A Method To Improve Algorithms Used To Detect Steady State Excess Enthalpy", <i>Transactions of Fusion Technology</i> , 26, 156-159		
	AG				Swartz, M., 1998, Patterns of Failure in Cold Fusion Experiments, Proceedings of the 33RD Intersociety Engineering Conference on Energy Conversion, IECEC-98-I229, Colorado Springs, CO, August 2-6, 1998		
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	AG				Swartz, M, 1998, "Optimal Operating Point Characteristics of Nickel Light Water Experiments", <i>Proceedings of ICCF-7</i>		
	AH				Swartz, M, 1997, "Noise Measurement in cold fusion systems, <i>Journal of New Energy</i> , 2, 2, 56-61; Swartz, M, 1996, "Definitions Of Power Amplification Factor", <i>J New Energy</i> , 2, 54-59		
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	AJ				Swartz, M, 1997, "Explanations for Some Differences Between Reports of Excess Heat in Solid State Fusion Experiments", <i>J New Energy</i> , 2, 1, 60-65.		
	AK				Swartz, M, G. Verner, 1999, "Bremsstrahlung in Hot and Cold Fusion", <i>J New Energy</i> , (preprint confidentially shared)		
EXAMINER				DATE CONSIDERED			
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				APPLICANT: Mitchell R. Swartz			
				FILING DATE: 12/28/00		GROUP NO.	
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS LATION
	AD						
OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)							
	AE						Swartz, M, 1993, "Some Lessons from Optical Examination of the FC Phase-II Calorimetric Curves", Vol. 2, Proceedings: "Fourth International Conference on Cold Fusion", 19-1, op. cit.
	AF						Swartz, M., 1996, "Relative Impact of Thermal Stratification of the Air Surrounding a Calorimeter", Journal of New Energy, 2, 219-221 (1996)
	AG						Swartz, M, 1996, "Improved Calculations Involving Energy Release Using a Buoyancy Transport Correction", Journal of New Energy, 1, 3, 219-221
	AF						Swartz, M, 1996, "Potential for Positional Variation in Flow Calorimetric Systems", Journal of New Energy, 1, 126-130
	AG						Swartz, M., 1994, "Catastrophic Active Medium Hypothesis of Cold Fusion" Vol. 4. "Proceedings: "Fourth International Conference on Cold Fusion" sponsored by EPRI and the Office of Naval Research
	AH						Dr. Michael McKubre, SRI, at his closing "Summary During ICCF-7", <i>Infinite Energy</i> , 4, 20, 34-35, (1998)
	AI						Science and Engineering of Hydrided Metals Series, Volume 2 - "Calorimetric Complications The Examination of the Phase-II Experiment and Other Select Calorimetric Issues, Ed. M: Swartz, JET Technology Press, Wellesley Hills, MA, ISBN 1-890550-02-7 (1999)
	AJ						
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ATTY DOCKET NO.
480-Series ISERIAL NO.
Serial no. 09/ 750, 480LIST OF PATENTS AND
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DISCLOSURE STATEMENT

APPLICANT: Dr. Mitchell Swartz

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS LATION
AC						
AD						

OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

AR						"Advanced Calculus for Applications, Second Edition" Francis Hildebrand (1976). pages 72 through 76, and also page 88
AS						"Theoretical Mechanics: An Introduction To Mathematical Physics" (1929) Joseph Ames and Francis Murnaghan. pages 124 to 139
AT						"Analytical Mechanics" (1962) Grant Fowles. pages 80 through 84 pages 43 through 45 pages 51 through 55.
AU						"Calculus and Analytical Geometry" (1951, and 1960) George Thomas Jr. pages 895 through 900
AV						
AX						
AY						
AZ						

EXAMINER: Examiner: Palabrica, R.J. DATE CONSIDERED

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